## SEC Form 5

 $\Box$ 

## FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362								
Estimated average burden								
hours per response	: 1.0							

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL **OWNERSHIP** 

Form 3 Holdings Reported.			•••••					hours per respons	se: 1.0
Form 4 Transactions Reported.	File	ed pursuant to Sect or Section 30(h		ne Securities Exc tment Company					
1. Name and Address of Reporting Person <sup>*</sup> MARCIANO MAURICE		2. Issuer Name GUESS IN		r Trading Symbo ]		5. Relationship of F (Check all applicab X Director	le)	s) to Issuer 0% Owner	
(Last) (First) C/O GUESS?, INC. 1444 SOUTH ALAMEDA ST	(Middle)	- 3. Statement fc 01/28/2023	or Issuer's Fiso	cal Year Ended (I	Officer (gi below)		Other (specify elow)		
(Street) LOS ANGELES (City) (State)	90021 (Zip)	<ul> <li>4. If Amendme</li> <li>–</li> </ul>	nt, Date of Ori	iginal Filed (Mont		6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
	e I - Non-Deriv	 vative Securiti	ies Acquir	ed, Dispose	d of, o	r Benefi	cially Owned		
1. Title of Security (Instr. 3)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) or Disp Of (D) (Instr. 3, 4 and 5)			sed 5. Amount of Securities Beneficially	6. Ownership Form: Direct	7. Nature of Indirect Beneficial	
		(Month/Day/Year)	8)	Amount	(A) or (D)	Price	Owned at end Issuer's Fiscal Year (Instr. 3 a 4)	Indirect (I)	Ownership (Instr. 4)
Common Stock	03/31/2022		G	55,000	D	\$0	1,597,650	) I	by MM CRUT LLC <sup>(1)</sup>
Common Stock	04/01/2022		G	35,412	D	\$0	1,588,422	2 I	by Maurice Marciano Charitable Remainder Unitrust

								II <sup>(3)</sup>
Common Stock	04/01/2022	G	89,836	A	\$0	802,236	Ι	by MM CRUT II LLC <sup>(4)</sup>
Common Stock	06/27/2022	G	45,000	D	\$0	1,552,650	Ι	by MM CRUT LLC <sup>(1)</sup>
Common Stock	06/30/2022	G	24,980	D	\$0	1,563,442	I	by Maurice Marciano Charitable Remainder Unitrust II <sup>(3)</sup>
Common Stock	06/30/2022	G	74,912	A	\$0	877,148	I	by MM CRUT II LLC <sup>(4)</sup>
Common Stock	10/04/2022	G	40,000	D	\$0	1,512,650	I	by MM CRUT LLC <sup>(1)</sup>
Common Stock	10/06/2022	G	5,699	D	\$0	1,557,743	I	by Maurice Marciano Charitable Remainder Unitrust II <sup>(3)</sup>
Common Stock	10/06/2022	G	41,305	A	\$0	918,453	Ι	by MM CRUT II LLC <sup>(4)</sup>
Common Stock	01/04/2023	G	50,000	D	\$0	1,462,650	Ι	by MM CRUT LLC <sup>(1)</sup>

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities A Of (D) (Instr. 3,	cquired (A 4 and 5)	A) or Disposed	5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price	- Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	(D) or Indirect (I) (Instr. 4)	
Common Stock	01/05/2023		G	20,740	D	\$0	1,537,003	I	by Maurice Marciano Charitable Remainder Unitrust II <sup>(3)</sup>
Common Stock	01/05/2023		G	63,810	A	\$0	982,263	I	by MM CRUT II LLC <sup>(4)</sup>
Common Stock							7,846 <sup>(2)</sup>	D	
Common Stock							4,617,263 <sup>(2)</sup>	I	by Maurice Marciano Trust <sup>(5)</sup>
Common Stock							1,500,000	I	by Carolem Capital LLC <sup>(6)</sup>
Common Stock							70	I	by MM Gift Trust fbo Caroline Marciano <sup>(7)</sup>
Common Stock							349,491	I	by MM 2020 Exempt Trust <sup>(8)</sup>
Common Stock							103,801	I	by Next Step Capital LLC <sup>(9)</sup>
Common Stock							554,940	I	by Next Step Capital II, LLC <sup>(10)</sup>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
							Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Shares are held by MM CRUT, LLC.

2. Reflects changes in form of ownership that are exempt pursuant to Rule 16a-13 under the Securities Exchange Act of 1934 since there was no change in pecuniary interest.

- 3. Shares are held by Maurice Marciano Charitable Remainder Unitrust II.
- 4. Shares are held by MM CRUT II, LLC.
- 5. Shares are held by the Maurice Marciano Trust (2006 Restatement).

6. Shares are held by CAROLEM Capital, LLC.

- 7. Shares are held by Maurice Marciano Gift Trust FBO Caroline Marciano.
- 8. Shares are held by Maurice Marciano 2020 Exempt Trust.

9. Shares are held by Next Step Capital, LLC.

10. Shares are held by Next Step Capital II, LLC.

## Jason T. Miller (attorney-infact)

03/14/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.